

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Balazs Kralik; Michael Goldbach; Paul Dagum  
Assignee: Rapt, Inc.  
Title: METHOD AND APPARATUS FOR ESTIMATION OF COMPONENT  
GATING AND SHORTAGE RISK IN MANUFACTURING  
OPERATIONS  
Application No.: 09/942,249 Filed: August 29, 2001  
Examiner: Johnna Ronee Loftis Group Art Unit: 3623  
Docket No.: RAP0008US Confirmation No. 6169

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Austin, Texas  
August 15, 2006

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(c)(2)**


Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Pursuant to 37 C.F.R. §1.98(a)(2), which was amended on September 21, 2004, to eliminate the requirement for submitting a copy of each U.S. patent or U.S. patent application publication listed in an IDS, no copies of U.S. patents or U.S. patent applications are provided. Copies of any listed foreign patent documents, *pending non-published U.S. patent applications*, and non-patent literature are enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant understands that the fee of under 37 CFR §1.17(p) for submission of an Information Disclosure Statement has been waived as set forth in the Requirement for Information under 37 C.F.R. § 1.105 in the pending Office Action. If this understanding is in error, please charge any appropriate fees for submission of this Information Disclosure Statement (plus any other fees which may be required) to Deposit Account No. 502306.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop <u>Amendment</u> , Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia, 22313-1450, on <u>August 15, 2006</u> .	
 _____ Attorney for Applicant	<u>2006 AUG 15</u> _____ Date of Signature

Respectfully submitted,



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U.S. Department of Commerce, Patent and Trademark Office		Atty Docket No.:	Appl. No.:
		RAP0008US	09/942,249
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Inventors:	
(Use several sheets if necessary)		Balazs Kralik, et al.	
		Filing Date:	Group Art Unit:
		August 29, 2001	2163

**U.S. Patent Documents**

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	BA	6,684,193	Jan. 27, 2004	Thomas A. Chavez et al.	705	8	Oct. 5, 1999

**Foreign Patent Documents**

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	BB	Dagum, Paul; Michael Goldbach and Balazs Kralik, U.S. Provisional Patent Application No. 60/213,189, entitled "Method and Apparatus for the Estimation of Mean Production for Assemble-to-Order Manufacturing Operations," filed June 21, 2000, including 43 pages of specification. (Attorney Docket No.: RAP0006VIUS)
	BC	"Success Story: Sun Microsystems" (brochure), 2 pages, December 2001.
	BD	"Data Sheet: Revenue Director" (brochure), 2 pages, September 2005.
	BE	"Rapt And Actuate Announce Strategic Partnership to Deliver Tailored Trading Intelligence Data" (press release), 1 page, 2005, downloaded August 15, 2006 from <a href="http://www.actuate.com/corporate/news/pressrelease.asp?prmt=1&amp;ArticleId=605">http://www.actuate.com/corporate/news/pressrelease.asp?prmt=1&amp;ArticleId=605</a>
	BF	"Rapt/Actuate Deliver Interactive and Actionable Content" (press release), 2 pages, downloaded August 15, 2006 from <a href="http://www.taborcommunications.com/dsstar/01/0116/102571.html">http://www.taborcommunications.com/dsstar/01/0116/102571.html</a>

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.